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OFFICE OF PETITIONS

In re Application of Stuart B. Levy et al. Application No. 10/692

DECISION ON APPLICATION

Application No. 10/692,764

:FOR PATENT TERM ADJUSTMENT

Filed: October 23, 2004

Attorney Docket No. 16534-539001US

This is a decision on the "APPLICATION FOR ADJUSTMENT OF PATENT TERM UNDER 37 C.F.R. § 1.705(b)", filed March 7, 2012. Applicants request that the patent term adjustment at the time of the mailing of the Notice of Allowance be corrected from 638 days to 821 days.

The application for patent term adjustment is **GRANTED**.

The Office has updated the PAIR screen to reflect that the correct Patent Term Adjustment (PTA) determination at the time of the mailing of the Notice of Allowance is EIGHT HUNDRED TWENTY-ONE (821) days. A copy of the updated PAIR screen, showing the correct determination, is enclosed.

On December 8, 2011, the Office mailed the Determination of Patent Term Adjustment under 35 U.S.C. 154(b) in the above-identified application. The Notice stated that the patent term adjustment (PTA) to date is 638 days. The instant application for patent term adjustment was timely filed<sup>1</sup>. Applicants dispute the reduction of 183 days of PTA for applicant delay in filing an Information Disclosure Statements (IDS) on September 10, 2010 and September 14, 2011 after the filing of a response to Office Actions on April 2, 2010 and August 23, 2011. "Applicants believe that the Office incorrectly attributed 183 days of delay to Applicants under 37 C.F.R. § 1.704. Specifically, Applicants' Supplemental Information Disclosure Statements filed September 10, 2010 and September 14, 2011 both included a statement under 37 C.F.R. § 1.704(d)."

A review of the record reveals that on April 2, 2010 applicants filed a response to the Final Office action mailed December 18, 2009. Then on September 10, 2010, applicants filed an Information Disclosure Statement (IDS). A review of the record also reveals that on August 23, 2011 applicants filed a response to the non-Final Office action mailed May 23, 2011. Then on September 14, 2011, applicants filed an Information Disclosure Statement (IDS). Pursuant to 37 CFR § 1.704(c)(8), the

PALM records indicate that the Issue Fee was also received on March 7, 2012.

submission of a supplemental reply or other paper, other than a supplemental reply or other paper expressly requested by the examiner, after a reply has been filed, is a failure to engage in reasonable efforts to conclude prosecution. The record does not support a conclusion that the IDS was expressly requested by the examiner. Accordingly, filing of the IDS may be considered a failure to engage and a proper basis for reduction.

However, the record supports a conclusion that the IDS was received May 24, 2011 with a 37 CFR 1.704(d) statement.

37 CFR 1.704(d) provides that a paper containing only an information disclosure statement in compliance with 37 CFR 1.97 and 1.98 will not be considered (result in a reduction) under 37 CFR 1.704(c)(6), 1.704(c)(8), 1.704(c)(9), or 1.704(c)(10) if it is accompanied by a statement that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the information disclosure statement. This provision will permit applicants to submit information first cited in a communication from a foreign patent office in a counterpart application to the Office without a reduction in patent term adjustment if an information disclosure statement is promptly (within thirty days of receipt of the first communication) submitted to the Office. Compliance with the statement requirement of 37 CFR 1.704(d) does not substitute for compliance with any relevant requirement of 37 CFR 1.97 or 1.98. 37 CFR 1.704(d) also provides that this thirty-day period is not extendableeply was expressly requested by the examiner, within the meaning of § 1.704(c)(8). Accordingly, no reduction is warranted.

In view thereof, no reduction should have been taken for the filing of the IDS' after the mailing of the response to the Final Office Action on April 2, 2010 and to the non-Final Office Action on August 23, 2011.

Receipt of the \$200.00 fee set forth in 37 CFR 1.18(e) is acknowledged. No additional fees are required.

The application is being forwarded to the Office of Data Management for issuance of the patent. The patent term adjustment indicated on the patent (as shown on the Issue Notification mailed about three weeks prior to patent issuance) will include any additional adjustment accrued both for Office delay in issuing the patent more than four months after payment of the issue fee and satisfaction of all outstanding requirements, and for the Office taking in excess of three years to issue the patent (to the extent that the three-year period does not overlap with periods already accorded).

Telephone inquiries specific to this decision should be directed to the undersigned at

(571) 272-3212.

Patricia Faison-Ball Senior Petitions Attorney Office of Petitions

Enclosure: Copy of Revised PALM Screen



# **Patent**

## Term

### Adjustments



PTA/PTE Information

Patent <u>T</u>erm Adjustment

Patent Term Extension

Application Number\*: 10692764

Search Explanation of PTA Calculation

**Explanation of PTE Calculation** 

PTA Calculations for Application: 10692764

OverLapping Days Between (A and B) or (A and C) 0 Non-Overlapping USPTO Delays: 1050 PTO Manual Adjustment 183 Application Filing Date 10/24/2003 Issue Date of Patent A Delays 1050 Applicant Delay (APPL) 412 Total PTA (days) 821 B Delays 0 C Delays 0

#### \* - Sorted Column

### File Contents History

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| Action<br>Number | Action<br>Recorded<br>Date | Action Due<br>Date | Action<br>Code | <u>Action</u><br><u>Description</u>                     | Duration<br>PTO | Duration<br>APPL | Numb |
|------------------|----------------------------|--------------------|----------------|---|-----------------|------------------|------|
| 106              | 03/19/2012                 |                    | P028           | Adjustment of PTA Calculation by PTO                    | 22              |                  | 0    |
| .05              | 03/19/2012                 |                    | P028           | Adjustment of PTA Calculation by PTO                    | 161             |                  | 0    |
| 4                | 12/08/2011                 |                    | MN/=.          | Mail Notice of Allowance                                |                 |                  | 0    |
| 3                | 12/05/2011                 |                    | OAR            | Office Action Review                                    |                 |                  | 0    |
| 2                | 12/05/2011                 |                    | IREV           | Issue Revision Completed                                |                 |                  | 0    |
| )1               | 12/05/2011                 |                    | DVER           | Document Verification                                   |                 |                  | 0    |
| 90               | 12/05/2011                 |                    | N/=.           | Notice of Allowance Data Verification Completed         |                 |                  | 0    |
| 19               | 12/05/2011                 |                    | DOCK           | Case Docketed to Examiner in GAU                        |                 |                  | 0    |
| 38               | 12/04/2011                 |                    | CNTA           | Allowability Notice                                     |                 |                  | 0    |
| 36               | 11/03/2011                 |                    | P574           | PARALEGAL OR ELECTRONIC TERMINAL DISCLAIMER APPROVED    |                 |                  | 0    |
|                  |                            |                    | FWDX           | Date Forwarded to Examiner                              |                 |                  | 0    |
| 34               | 09/30/2011                 |                    |                | Information Disclosure Statement considered             |                 |                  | 0    |
| 37               | 09/14/2011                 |                    | IDSC           |   |                 | 22               | 83   |
| 32               | 09/14/2011                 | 08/23/2011         |                | Information Disclosure Statement (IDS) Filed            |                 | **               | 0    |
| 31               | 09/14/2011                 |                    | WIDS           | Information Disclosure Statement (IDS) Filed            |                 |                  | o    |
| 35               | 08/23/2011                 |                    | DIST           | Terminal Disclaimer Filed                               |                 |                  | 0    |
| 33               | 08/23/2011                 |                    | A              | Response after Non-Final Action                         | 20.4            |                  | 70   |
| 80               | 05/23/2011                 | 08/02/2010         | MCTNF          | Mail Non-Final Rejection                                | 294             |                  |      |
| 79               | 05/23/2011                 |                    | OAR            | Office Action Review                                    |                 |                  | 0    |
| 78               | 05/20/2011                 |                    | CTNF           | Non-Final Rejection                                     |                 |                  | 0    |
| 77               | 09/10/2010                 |                    | IDSC           | Information Disclosure Statement considered             |                 |                  | 0    |
| 75               | 09/10/2010                 | 04/02/2010         | M844           | Information Disclosure Statement (IDS) Filed            |                 | 161              | 70   |
| 74               | 09/10/2010                 |                    | WIDS           | Information Disclosure Statement (IDS) Filed            |                 |                  | 0    |
| 72               | 04/06/2010                 |                    | FWDX           | Date Forwarded to Examiner                              |                 |                  | 0    |
| 69               | 04/06/2010                 |                    | ABN9           | Disposal for a RCE / CPA / R129                         |                 |                  | 0    |
| 76               | 04/02/2010                 |                    | IDSC           | Information Disclosure Statement considered             |                 |                  | 0    |
| 71               | 04/02/2010                 |                    | AMSB           | Amendment Submitted/Entered with Filing of CPA/RCE      |                 |                  | 0    |
| 70               | 04/02/2010                 | 03/22/2010         |                | Request for Continued Examination (RCE)                 |                 | 11               | 60 . |
| 68               | 04/02/2010                 | 00,00,000          | XT/G           | Request for Extension of Time - Granted                 |                 |                  | 0    |
| 6 <b>7</b>       | 04/02/2010                 |                    | M844           | Information Disclosure Statement (IDS) Filed            |                 |                  | 0    |
| 66               | 04/02/2010                 |                    | WIDS           | Information Disclosure Statement (IDS) Filed            |                 |                  | 0    |
| 65               | 04/02/2010                 |                    | BRCE           | Workflow - Request for RCE - Begin                      |                 |                  | 0    |
|                  |                            |                    | MCTAV          | Mail Advisory Action (PTOL - 303)                       |                 |                  | 0    |
| 64               | 03/11/2010                 |                    | CTAV           | Advisory Action (PTOL-303)                              |                 |                  | 0    |
| 63               | 03/10/2010                 |                    |                | Date Forwarded to Examiner                              |                 |                  | 0    |
| 62               | 02/25/2010                 |                    | FWDX           | Amendment after Final Rejection                         |                 |                  | 0    |
| 61               | 02/22/2010                 |                    | A.NE           |   |                 |                  | o    |
| 60               | 12/22/2009                 |                    | MCTFR          | Mail Final Rejection (PTOL - 326)                       |                 |                  | 0    |
| 59               | 12/18/2009                 |                    | CTFR           | Final Rejection   |                 |                  | 0    |
| 56               | 10/16/2009                 |                    | FWDX           | Date Forwarded to Examiner                              |                 |                  | ŏ    |
| 58               | 09/08/2009                 |                    | IDSC           | Information Disclosure Statement considered             |                 |                  | 55   |
| 57               | 09/08/2009                 | 09/08/2009         |                | Information Disclosure Statement (IDS) Filed            |                 |                  | 52   |
| 55               | 09/08/2009                 | 08/06/2009         |                | Response after Non-Final Action                         |                 | 33               | 0    |
| 54               | 09/08/2009                 |                    | XT/G           | Request for Extension of Time - Granted                 |                 |                  | •    |
| 53               | 09/08/2009                 |                    | WIDS           | Information Disclosure Statement (IDS) Filed            |                 |                  | 0    |
| 52               | 05/06/2009                 |                    | MCTNF          | Mail Non-Final Rejection                                |                 |                  | 0    |
| 51               | 05/05/2009                 |                    | CTNF           | Non-Final Rejection                                     |                 |                  | 0    |
| 49               | 03/10/2009                 |                    | FWDX           | Date Forwarded to Examiner                              |                 |                  | 0    |
| 47               | 03/10/2009                 |                    | FWDX           | Date Forwarded to Examiner                              |                 |                  | 0    |
| 45               | 03/10/2009                 |                    | ABN9           | Disposal for a RCE / CPA / R129                         |                 |                  | 0    |
| 48               | 03/03/2009                 |                    | AMSB           | Amendment Submitted/Entered with Filing of CPA/RCE      |                 |                  | 0    |
| 46               | 03/03/2009                 | 09/04/2008         |                | Request for Continued Examination (RCE)                 |                 |                  | 39   |
| 44               | 03/03/2009                 | ,,                 | XT/G           | Request for Extension of Time - Granted                 |                 |                  | 0    |
| 43               | 03/03/2009                 |                    | BRCE           | Workflow - Request for RCE - Begin                      |                 |                  | 0    |
| 43<br>41         | 10/23/2008                 |                    | PA             | Change in Power of Attorney (May Include Associate POA) |                 |                  | 0    |
|                  |                            |                    | C.AD           | Correspondence Address Change                           |                 |                  | 0    |
| 40               | 10/16/2008                 |                    |                | Notice of Appeal Filed                                  |                 | 92               | 36   |

|      |            |            |          |  |     |           |     | - 1 |
|------|------------|------------|----------|--|-----|-----------|-----|-----|
| 38   | 09/04/2008 |            | XT/G     | Request for Extension of Time - Granted  |     |           | 0   |     |
| 37   | 03/31/2008 |            | DOCK     | Case Docketed to Examiner in GAU   |     |           | 0   |     |
| 36   | 03/04/2008 |            | MCTFR    | Mail Final Rejection (PTOL - 326)  |     |           | 0   | - 1 |
| 35   | 02/19/2008 |            | CTFR     | Final Rejection  |     |           | 0   |     |
| 32   | 11/19/2007 |            | FWDX     | Date Forwarded to Examiner   |     |           | 0   |     |
| 31   | 11/05/2007 | 08/04/2007 | A        | Response after Non-Final Action  |     | <u>93</u> | 28  |     |
| 30   | 11/05/2007 |            | XT/G     | Request for Extension of Time - Granted  |     |           | 0   |     |
| 29   | 09/05/2007 |            | C.ADB    | Correspondence Address Change  |     |           | 0   |     |
| 28   | 05/04/2007 |            | MCTNF    | Mail Non-Final Rejection   |     |           | 0   |     |
| 27   | 04/30/2007 |            | CTNF     | Non-Final Rejection  |     |           | 0   |     |
| 25   | 03/09/2007 |            | FWDX     | Date Forwarded to Examiner   |     |           | 0   |     |
| 24   | 02/20/2007 |            | ELC.     | Response to Election / Restriction Filed   |     |           | 0   |     |
| 23   | 01/19/2007 | 12/24/2004 | MCTRS    | Mail Restriction Requirement   | 756 |           | 0.5 |     |
| 22   | 01/08/2007 |            | CTRS     | Restriction/Election Requirement   |     |           | 0   |     |
| 21   | 01/05/2007 |            | DOCK     | Case Docketed to Examiner in GAU   |     |           | 0   |     |
| 20   | 11/24/2006 |            | DOCK     | Case Docketed to Examiner in GAU   |     |           | 0   |     |
| 26   | 06/08/2006 |            | IDSC     | Information Disclosure Statement considered  |     |           | 0   |     |
| 19   | 06/08/2006 |            | RCAP .   | Reference capture on IDS   |     |           | 0   |     |
| 18.7 | 06/08/2006 |            | M844     | Information Disclosure Statement (IDS) Filed   |     |           | 0   |     |
| 18   | 06/08/2006 |            | WIDS     | Information Disclosure Statement (IDS) Filed   |     |           | 0   |     |
| 17   | 05/02/2006 |            | DOCK     | Case Docketed to Examiner in GAU   |     |           | 0   |     |
| 16   | 10/28/2004 |            | DOCK     | Case Docketed to Examiner in GAU   |     |           | 0   |     |
| 15   | 06/22/2004 |            | TSSCOMP  | IFW TSS Processing by Tech Center Complete   |     |           | 0   |     |
| 14   | 06/22/2004 |            | DOCK     | Case Docketed to Examiner in GAU   |     |           | 0   |     |
| 13   | 05/03/2004 |            | WROIPE   | Application Return from OIPE   |     |           | 0   |     |
| 12   | 05/03/2004 |            | ROIPE    | Application Return TO OIPE   |     |           | 0   |     |
| 11   | 05/03/2004 |            | OIPE     | Application Dispatched from OIPE   |     |           | 0   |     |
| 10   | 05/03/2004 |            | COMP     | Application Is Now Complete  |     |           | 0 , |     |
| 9    | 04/22/2004 |            | ADDFLFEE | Additional Application Filing Fees   |     |           | 0   |     |
| 8    | 04/22/2004 |            | OATHDECL | A statement by one or more inventors satisfying the requirement under 35 USC 115, Oath of the Applic |     |           | 0   |     |
| 7    | 02/20/2004 |            | INCD     | Notice MailedApplication IncompleteFiling Date Assigned  |     |           | 0   |     |
| 6    | 01/28/2004 |            | L128     | Cleared by L&R (LARS)  |     |           | 0   |     |
| 5    | 01/22/2004 |            | L126     | Auto Referred by PALM Pre Exam   |     |           | 0   |     |
| 4    | 01/16/2004 |            | L194     | Cleared by OIPE CSR  |     |           | 0   |     |
| 3    | 01/16/2004 |            | CLSS     | CASE CLASSIFIED BY OIPE  |     |           | 0   |     |
| 2    | 12/09/2003 |            | SCAN     | IFW Scan & PACR Auto Security Review   |     |           | 0   |     |
| 1    | 10/24/2003 |            | IEXX     | Initial Exam Team nn   |     |           | 0   |     |
| 0.5  | 10/24/2003 |            | EFILE    | Filing date  |     |           | 0   |     |

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